

JW

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

71

Application Number

10/687,834

Filing Date

October 20, 2003

First Named Inventor

Axel BOETTGER

Art Unit

2834

Examiner Name

Unassigned

Attorney Docket Number

BOE01 026

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement Including 13 Cited References	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	DUANE MORRIS LLP		
Signature			
Printed name	Mark C. Comtois		
Date	May 11, 2005	Reg. No.	46,285

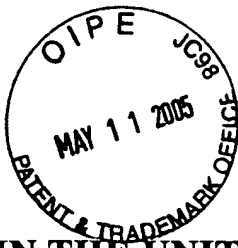
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



ATTORNEY DOCKET NO. BOE01 026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Axel Boettger, et al.

Serial No.: 10/687,834

Art Unit: 2834

Filed: October 20, 2003

Examiner: Unassigned

Title: ELECTRIC MOTOR FOR A PUMP DRIVE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Each item of information contained in the following information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present information disclosure statement.

The below documents are listed for consideration in the examination of the subject application.

U.S. PATENTS:

<u>Patent No.</u>	<u>Patentee(s)</u>	<u>Issue/Publication Date</u>	<u>Examiner Initials</u>
3,859,548	Morley, et al.	January 7, 1975	
5,644,178	Halm	July 1, 1997	
6,028,386	Kech, et al.	February 22, 2000	
6,663,362	Lentz, et al.	December 16, 2003	

Copies of the below listed documents are enclosed for consideration in the examination of the subject application.

FOREIGN PATENTS:

<u>Patent No.</u>	<u>Patentee(s)</u>	<u>Issue Date</u>	<u>Examiner Initials</u>
DE 10045596	Buhler Motor GmbH	April 4, 2002	
DE 10103209	WILO GmbH	July 25, 2002	
DE 3833574	Grundfos Int'l A/S	April 5, 1990	
EP 0001222	Hanning Elektro-Werke GmbH & Co.	August 28, 1978	
DE 3506648	T. Smedegaard A/S	August 29, 1985	
DE 19623460	Siemens AG	December 18, 1997	
JP 60170437	Mitsubishi Electric Corp.	August 3, 1985	
EP 0357913	Halm	July 15, 1989	
WO 9308632	Filmlab Eng. Pty. Ltd.	April 29, 1993	
GB 979009	Lord	January 1, 1965	
GB 966156	Smith, et al.	August 6, 1964	
GB 987265	Stepnica	March 24, 1965	

OTHER PUBLICATIONS

European Search Report for EP03022875

RELEVANCE OF FOREIGN LANGUAGE DOCUMENTS

1. **DE 10045596, DE 10103209, DE 3833574, EP 0001222, DE 3506648, DE 19623460, JP 60170437, EP 0357913**

A corresponding English abstract has been provided for each document.

2. **WO 9308632, GB 979009, GB 966156, GB 987265**

Each document has been provided in English.

Respectfully Submitted,



Mark C. Comtois	Reg. No. 46,285
L. Lawton Rogers, III	Reg. No. 24,302
Patrick D. McPherson	Reg. No. 46,255
D. Joseph English	Reg. No. 42,514

DUANE MORRIS LLP
1667 K Street, N.W., Suite 700
Washington, D.C. 20006
Telephone: (202) 776-7800
Telecopier: (202) 776-7801

Dated: May 11, 2005